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| CHAPTER II

TRANSMITTAL LETTER
TO THE UNITED STATES ELECTED OFFICE (EO/US)

(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/GB99/03821

16 NOVEMBER 1999

11 DECEMBER 199

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIR

BODY SCANNING EQUIPMENT

TITLE OF INVENTION

- 1. ROBERT BRASH
 - TIMOTHY TANNER

APPLICANT(S)

Box PCT

Assistant Commissioner for Patents

Washington D.C. 20231

ATTENTION: EO/US

NOTE:

The completion of those filing requirements that can be made at a time later than 30 months from the priority date results from the Commissioner exercising his judgment under the authority granted under 35 USC 371(d). The filing receipt will show the actual date of receipt of the last item completing the entry into the national phase. See 37 C.F.R.

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date <u>June 6, 2001</u>, in an envelope as "Express Mail Post Office to Addressee," Mailing Label Number <u>EL728213305US</u>, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

IBIS CARRILLO

(type or print name of person mailing pager,

Signature of person mailing paper

WARNING:

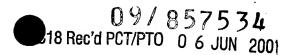
Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Transmittal Letter to the United States Elected Office (EO/US)—page 1 of 8) 13-18



§1.491 which states: "An international application enters the national state when the applicant has filed the documents and fees required by 35 USC 371(c) within the periods set forth in § 1.494 and § 1.495."

WARNING:

Where the items are those which can be submitted to complete the entry of the international application into the national phase are subsequent to 30 months from the priority date the application is still considered to be in the international state and if mailing procedures are utilized to obtain a date the express mail procedure of 37 C.F.R. §1.10 must be used (since international application papers are not covered by an ordinary certificate of mailing - See 37 C.F.R. §1.8.

NOTE: Documents and fees must be clearly identified as a submission to enter the national state under 35 USC 371 otherwise the submission will be considered as being made under 35 USC 111. 37 C.F.R. § 1.494(f).

- 1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:
 - a. [X] This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
 - b. [X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

2.Fees

FEE T	(1) FOR TOTAL CLAIMS	(2) NUMBER FILED 9 - 20 =	(3) NUMBER EXTRA	(4) RATE	(5) CALCULA- TIONS
	CLAIMS	9 - 20 =			1 1 1 1 1 1 1 1 1 1 1 1
1 10	i i			x \$ 18.00 =	\$
	INDEPENDENT CLAIMS	3 - 3 =		x \$ 80.00 =	
N	MULTIPLE DEPE				
1	U.S. PTO AUTHOR Where an 1.482 has [] [] [] [] [] [] [] []	WAS INTERNATION ITY International preliminal been paid on the international preliminal preliminal preliminal preliminal preliminal preliminal preliminal stand the above requirem WAS NOT INTERNAL ATION AUTHORITY international preliminal has been paid to the Unal search fee as set forthers been paid (37 CFR as not been pa	ary examination fee as a national application to a reliminary examination inventive step (non-objective dimensional application to a reliminary examination are claims presented in PCT Article 3 e claims presented in Page (37 CFR 1.492(a)(a) ents are not met (37 Cm). TIONAL PRELIMINARY examination fee as s.S. PTO, and payment of h in § 1.445(a)(2) to the 1.492(a)(2))	set forth in § he U.S. PTO: report states that viousness) and 3(2) to (4) have he application 4))\$100.00 FR 1.492(a)(1))\$690.00 .RY et forth of an he U.S\$710.00\$1,000.00 ication has been Lananese Patent	
			Total of ab	ove Calculations	860.00
SMALL Rec ENTITY file	eduction by ½ for fi ed. (note 37 CFR 1	-			
<u> </u>				Subtotal	860.00
					\$860.00
(36)	e for recording the ee Item 13 below).	enclosed assignment de See attached "ASSIGN	ocument \$40.00 (37 CF MENT COVER SHEE	FR 1.21(h)). CT".	
TOTAL			Tota	al Fees enclosed	\$860.00

^{*}See attached Preliminary Amendment Reducing the Number of Claims.

i. ii. **WARNING: WARNING:		[x] A check in the amount of \$860.00 [] Please charge Account No A duplicate copy of this sheet is enclosed.		to cover the above fees is enclosed in the amount of \$			
		Trade	"To avoid abandonment of the application the applicant shall furnish to the United States Patent and Trademark Office not later than the expiration of 30 months from the priority date: * * * (2) the basic national fee (see § 1.492(a)). The 30-month time limit may not be extended." 37 C.F.R. § 1.495(b). If the translation of the international application and/or the oath or declaration have not been submitted by the applicant within thirty (30) months from the priority date, such requirements may be met within a time period set by the Office. 37 C.F.R. § 1.495(b)(2). The payment of the surcharge set forth in § 1.492(e) is required as a condition for accepting the oath or declaration later than thirty (30) months after the priority date. The payment of the processing fee set forth in § 1.492(f) is required for acceptance of an English translation later than thirty (30) months after the priority date. Failure to comply with these requirements will result in abandonment of the application. The provisions of § 1.136 apply to the period which is set. Notice of Jan. 3, 1993, 1147 O.G. 29 to 40.				
		submit met wi forth in months accept comply					
3.	[x]	A cop	by of the International application as filed	(35 U.S.C. 371(c)(2)):			
NOTE:	must be Bureau 20. At the accorda the com normall basic no	Section 1.495 (b) was amended to require that the basic national fee and a copy of the international application must be filed with the Office by 30 months from the priority date to avoid abandonment "The International Bureau normally provides the copy of the international application to the Office in accordance with PCT Article 20. At the same time, the International Bureau notifies applicant of the communication to the Office. In accordance with PCT Rule 47.1, that notice shall be accepted by all designated offices as conclusive evidence tha the communication has duly taken place. Thus, if the applicant desires to enter the national stage, the applicant normally need only check to be sure the notice from the International Bureau has been received and then pay the basic national fee by 30 months from the priority date." Notice of Jan. 7, 1993, 1147 O.G. 29 to 40, at 35-36. See item 14c below.					
	a. b.	[]	is transmitted herewith. is not required, as the application was f	filed with the United States Receiving			
	c.	[x] i.	has been transmitted [x] by the International Bureau. Date of mailing of the application (from	n form PCT/ID/200).			
		ii.	by applicant on	 ·			
4.	[x]	[x] A translation of the International application into the English language (35 U.S.C. 371(c)(2)):					
	a. b. c.	[] [x] []	is transmitted herewith. is not required as the application was fi was previously transmitted by applicant	led in English. t on			
	d	ſì	will follow	Date			

9.

[]

a.

b.

 $[\]$

5. Amendments to the claims of the International application under PCT Article 19 (35 [x] U.S.C. 371(c)(3): NOTE: The Notice of January 7, 1993 points out that 37 C.F.R. § 1.495(a) was amended to clarify the existing and continuing practice that PCT Article 19 amendments must be submitted by 30 months from the priority date and this deadline may not be extended. The Notice further advises that: "The failure to do so will not result in loss of the subject matter of the PCT Article 19 amendments. Applicant may submit that subject matter in a preliminary amendment filed under section 1.121. In many cases, filing an amendment under section 1.121 is preferable since grammatical or idiomatic errors may be corrected." 1147 O.G. 29-40, at 36. are transmitted herewith. [] a. [] have been transmitted b. by the International Bureau. i. Date of mailing of the amendment (from form PCT/IB/308): _____. ii. by applicant on _ Date [x] have not been transmitted as c. applicant chose not to make amendments under PCT Article 19. i. Date of mailing of Search Report (from form PCT/ISA/210): **FEBRUARY 7, 2000.** ii. [] the time limit for the submission of amendments has not yet expired. The amendments or a statement that amendments have not been made will be transmitted before the expiration of the time limit under PCT Rule 46.1. 6. [x]A translation of the amendments to the claims under PCT Article 19 (38 U.S.C. 371(c)(3)): [] is transmitted herewith. a. is not required as the amendments were made in the English language. b. c. [x]has not been transmitted for reasons indicated at point 5(c) above. 7. A copy of the international examination report (PCT/IPEA/409) [x] is transmitted herewith. [x] [] is not required as the application was filed with the United States Receiving Office. 8. Annex(es) to the international preliminary examination report [] is/are transmitted herewith. ſΊ []b. is/are not required as the application was filed with the United States Receiving Office.

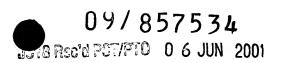
A translation of the annexes to the international preliminary examination report

is not required as the annexes are in the English language.

is transmitted herewith.

10.	[x]					
	a.	U.S.C. 115 [] was previously submitted by applicant on				
	a.	Date				
	b.	[] is submitted herewith, and such oath or declaration				
		i. [] is attached to the application.				
		ii. [] identifies the application and any amendments under PCT Article 19 that were transmitted as stated in points 3(b) or 3(c) and 5(b); and states that they were reviewed by the inventor as required by 37 C.F.R. 1.70.				
	c.	[x] will follow.				
Other	r docum	ent(s) or information included:				
11.	[x]	An International Search Report (PCT/ISA/210) or Declaration under PCT Article				
	[]	17(2)(a):				
	a.	[x] is transmitted herewith.				
	b.	[] has been transmitted by the International Bureau.				
		Date of mailing (from form PCT/IB/308):				
	c.	is not required, as the application was searched by the United States				
	_	International Searching Authority.				
	d.	[] will be transmitted promptly upon request.[] has been submitted by applicant on				
	e.	[] has been submitted by applicant on Date				
12.	[x]	An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98:				
	a.	[x] is transmitted herewith.				
		Also transmitted herewith is/are:				
		[x] Form PTO-1449 (PTO/SB/08A and 08B).				
		[x] Copies of citations listed.				
	b.	will be transmitted within THREE MONTHS of the date of submission of				
		requirements under 35 U.S.C. 371(c).				
	c.	[] was previously submitted by applicant on Date				
13.	[]	An assignment document is transmitted herewith for recording.				
		A COMPANYING				
	A se	A separate [] "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or [] FORM PTO 1595 is also attached.				
	NEV	W PATENT APPLICATION or [] FORM FTO 1393 is also attached.				

14.	[x] a. b.	Additional documents: [] Copy of request (PCT/RO/101) [x] International Publication No. WO 00/36370 i. [x] Specification, claims and drawing ii. [] Front page only [] Preliminary amendment (37 C.F.R. § 1.121) [x] Other				
		FORM PCT/IPEA/416				
15.	[x] a. b.	The above checked items are being transmitted [x] before 30 months from any claimed priority date. [] after 30 months.				
16.	[]	Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on, namely:				
WARN	/ING:	AUTHORIZATION TO CHARGE ADDITIONAL FEES Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges if extra claims are authorized.				
NOTE	reply, incorp requir an ext parag	itten request may be submitted in an application that is an authorization to treat any concurrent or future requiring a petition for an extension of time under this paragraph for its timely submission, as orating a petition for extension of time for the appropriate length of time. An authorization to charge all ed fees, fees under § 1.17, or all required extension of time fees will be treated as a constructive petition foension of time in any concurrent or future reply requiring a petition for an extension of time under this raph for its timely submission. Submission of the fee set forth in § 1.17(a) will also be treated as a suctive petition for an extension of time in any concurrent reply requiring a petition for an extension of time this paragraph for its timely submission." 37 C.F.R. § 1.136(a)(3).				
NOTE	time	unts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check requested, by credit to a deposit account." 37 C.F.R. § 1.26(a).				
	[X]	The Commissioner is hereby authorized to charge the following additional fees may be required by this paper and during the entire pendency of this application Account No. 12-0425				
		[X] 37 C.F.R. 1.492(a)(1), (2), (3), and (4) (filing fees)				
WARNING:		Because failure to pay the national fee within 30 months without extension (37 C.F.R. § $1.495(b)(2)$) results in abandonment of the application, it would be best to always check the above box.				
		[] 37 C.F.R. 1.492(b), (c) and (d) (presentation of extra claims)				
NOT	E: Beca	use additional fees for excess or multiple dependent claims not paid on filing or on later presentation must				



only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.492(d)), it might be best not to authorize the PTO to charge additional claim fees, except possible when dealing with amendments after final action.

[X] 37 C.F.R. 1.17 (application processing fees)

[X] 37 C.F.R. 1.17(a)(1)-(5)(extension fees pursuant to § 1.136(a).

[X] 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 C.F.R. § 1.311(b).

NOTE: 37 C.F.R. 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application . . . prior to paying, or at the time of paying . . . issue fee." From the wording of 37 C.F.R. § 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

[] 37 C.F.R. § 1.492(e) and (f) (surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 30 months after the priority date).

SIGNATURE OF PRACTITIONER

WILLIAM R. EVANS

(type or print name of practitioner)

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26 West 61st Street

26 West 61st Street New York, N.Y. 10023

Tel. No.: (212) 708-1930

Customer No.: 00140

09/857534

patent application serial no.

Department of Commerce
Patent and Tradomark Office
Tee record

06/11/2001 MNGUYEN 00000123 09857534

01-FC:970

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Adjustment date: 10/04/2001 SNAJARRO 06/11/2001 MNGUYEN 00000123 09857534 01 FC:970 -860.00 DP

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